



Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) _____

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Volksbank AG	<u>Name of Transferor:</u> UBS AG
Notices to Transferee should be sent to: Volksbank AG c/o Entris Operations AG Mattenstrasse 8 CH 3073 Guemligen PHONE ++41 31 9507316 Attn: Marco Gnaegi EMAIL marco.gnaegi@entris.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u> CHF 15'000.00 (face amount of securities)	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Peter von Gunten
Peter von Gunten, authorized representative

Date: 3/5/2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).



Evidence of Transfer



EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")
One Bowling Green
New York, New York 10004
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
95 % CPN Lehman Bros Treasury BV 2007-21.5.10/SMI- Index	XS0299386101	LBT BV	LBH Inc.	CHF 15'000.00 out of CHF 6'480'000.00

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

UBS AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Volksbank AG
c/o Entris Operations AG
Mattenstrasse 8
CH 3073 Guemligen
PHONE ++41 31 9507316
Attn: Marco Gnaegi
EMAIL marco.gnaegi@entris.ch

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court



may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated February 22, 2013.

UBS AG

Transferor

By: 

Name: Hugo Koller
Title: Director

By: 

Name: Jean-Claude Besson
Title: Associate Director

ACKNOWLEDGED BY:

Volksbank AG

Transferee

By: 

Name: Peter von Gunten
Title: Authorized representative



Entris Operations AG, Mattenstrasse 8, CH-3073 Gümligen

registered mail

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150-5076
United States

Contact: Marco Gnägi
T +41 31 950 73 16, F +41 31 950 76 51
marco.gnaegi@entris.ch
Gümligen, March 11th, 2013

In re: Lehman Brothers Holdings Inc.

Dear Sir or Madam

Please find attached one notice of transfer of claims

ISIN XS0299386101	Transferee: Volksbank AG	Transferor: UBS AG
ISIN CH0039308678	Transferee: Volksbank AG	Transferor: UBS AG

If you have any questions, please do not hesitate to contact us. We thank you in advance for your cooperation.

Yours faithfully
Entris Operations AG

A blue ink signature of Hanspeter Friedli, written in a cursive style.

Hanspeter Friedli
Abteilungsleiter Anliegen
Service

A blue ink signature of Marco Gnägi, written in a cursive style.

Marco Gnägi
Teamleiter Anliegen Corporate
Actions

11.03.13

CH-3073
Gümligen

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PRIORITY
Stand 2



DIEPOST

Entris Operations AG, Mattenstrasse 8, CH-3073 Gümligen

registered mail

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150-5076
United States

FILED / RECEIVED

MAR 14 2013

EPIQ SYSTEMS

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